



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rompala, et al.

Examiner:

Serial No.: 09/839,467

Art Unit: 2123

Filing Date: April 20, 2001

Atty. Docket No.: 0550862-0015

Title: "SYSTEM FOR AND METHOD OF AUTOMATING THE EXECUTION OF A DATA STREAM TRANSFORMATION PROJECT"

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

WRITTEN ASSERTION OF SMALL ENTITY STATUS
UNDER 37 CFR §1.27(a)(2)
SMALL BUSINESS CONCERN

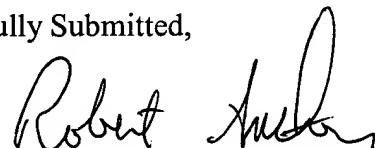
The undersigned hereby asserts entitlement to small entity status as a small business concern under 37 CFR §1.27(a)(2) on behalf of **Orchid Systems, Inc.** This assertion is made on the ground that **Orchid Systems, Inc.** is a concern

(a) whose number of employees, including affiliates, does not exceed 500 persons; and

(b) that *has not* assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the above-referenced patent application to any party who does not qualify for small entity status either as a person, a small business concern, or a nonprofit organization under 37 CFR §1.27,

as set forth in 13 CFR §121.802.

Respectfully Submitted,



Name: Robert Anthony
Title: President & CEO
Date: 10/9/01

CHOATE, HALL & STEWART
53 State Street
Exchange Place
Boston, MA 02109
Phone: (617) 248-5000
Fax: (617) 248-4000
3306360



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ATTORNEY'S DOCKET NO.: 0550862-0015

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

“SYSTEM FOR AND METHOD OF AUTOMATING THE EXECUTION OF A DATA STREAM TRANSFORMATION PROJECT”

the specification of which (I authorize Choate, Hall & Stewart to check one of the following three choices, and fill in the blanks, if applicable):

is attached hereto;

X was filed on April 20, 2001 as Application Serial No. 09/839,467 and amended on _____ (if applicable); or

_____ was filed as PCT international application No. _____, on _____ and was amended under PCT Article 19 on _____ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledged the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

(Number)	(Country)	(Day/Month/Year/Filed)	Yes	No

-1-

(Number) (Country) (Day/Month/Year/Filed) Yes No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.) (filing date) (status-patented, pending, abandoned)

(Application Serial No.) (filing date) (status-patented, pending, abandoned)

PCT Applications designating the United States:

(PCT Appl. No.) (U.S.S.N.) (status-patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national filing date of this application.

Provisional Application(s):

(Application Serial No.) (filing date) (status)

(Application Serial No.) (filing date) (status)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Lewis J. Rompa 1/17

Full name of first inventor Lew Rompa

Inventor's signature lur Date: 10/10/01

Residence Spicerwood, TX Application 09/1839, 46

Citizenship USA

Post Office Address Same Serial 0550862-0015

A. Horn ^{no. 27}
Poc. L. C. L.

Full name of first inventor David Wiegand

Inventor's signature _____ Date: _____

Residence _____

Citizenship USA

Post Office Address Same

Full name of first inventor Neil Hickey

Inventor's signature _____ Date: _____

Residence _____

Citizenship USA

Post Office Address Same

* I authorize Choate, Hall & Stewart to fill in the serial number, if necessary.

3306346



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ATTORNEY'S DOCKET NO.: 0550862-0015

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

“SYSTEM FOR AND METHOD OF AUTOMATING THE EXECUTION OF A DATA STREAM TRANSFORMATION PROJECT”

the specification of which (I authorize Choate, Hall & Stewart to check one of the following three choices, and fill in the blanks, if applicable):

_____ is attached hereto;

X was filed on April 20, 2001 as Application Serial No. 09/839,467 and amended on _____ (if applicable); or

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Prior Foreign Application(s):

(Number)	(Country)	(Day/Month/Year/Filed)	Yes	No
_____	_____	_____	_____	_____

(Number)	(Country)	(Day/Month/Year/Filed)	Yes	No
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(Application Serial No.)	(filing date)	(status-patented, pending, abandoned)
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Provisional Application(s):

(Application Serial No.)	(filing date)	(status)
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(Application Serial No.)	(filing date)	(status)
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United State Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full name of first inventor Lew Rompala

Inventor's signature _____ Date: _____

Residence _____

Citizenship USA

Post Office Address Same

Full name of first inventor David Wiegand

Inventor's signature D. Wiegand Date: _____

Residence Edmonds, WA

Citizenship USA

Post Office Address Same 8601 - 188th St SW.

Full name of first inventor Neil Hickey

Inventor's signature Neil Hickey Date: 10/9/01

Residence _____

Citizenship USA

Post Office Address Same

* I authorize Choate, Hall & Stewart to fill in the serial number, if necessary.

3306346



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Commissioner for Patents
Washington, DC 20231

Sir:

ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION (37 CFR 3.73(b))

The assignee of the entire right, title and interest, **ORCHID SYSTEMS, INC.**, hereby seeks to take action in the Patent and Trademark Office in the above matter.

Ownership by the assignee is established as follows:

documents already recorded in the PTO on _____.

Reel Frame _____; and

Reel Frame _____

documents separately submitted for recordal to the PTO (a copy of these documents is attached).

STATEMENT

I, a person authorized to sign on behalf of the assignee, have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title is mine/ours as assignee who seeks to take further action.

Robert Anthony
ROBERT ANTHONY
ORCHID SYSTEMS, INC.

10/9/01
Date

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